

# Theory of Computation

## Computer Science & Information Technology (CS)



**RANK 1** GATE 2015

**Computer Science**

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**20 Rank under AIR 100**

## Postal Correspondence

- ✓ Examination Oriented Theory, Practice Set
- ✓ Key concepts, Analysis & Summary



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### Syllabus :

Theory of Computation: Regular languages and finite automata, Context free languages and Push-down automata, Recursively enumerable sets and Turing machines, Undecidability.

# CHAPTER-1

## ALPHABETS, STRINGS AND LANGUAGES

The theory of computation is the branch of computer science and mathematics that deals with whether and how efficiently problems can be solved on a model of computation, using an algorithm.

The field is divided into three major branches:

- (I) Automata Theory
- (II) Computability Theory
- (III) Complexity Theory

### (I) Automata Theory

Automata theory includes the study of abstract machine and computational problems that can be solved using these machines.

### (II) Computability Theory

Computability deals with whether a problem is solvable using computer or not.

### (III) Complexity Theory

Complexity theory not only consider whether a problem can be solved at all on a computer, but also how efficiently the problem can be solved.

## Fundamental Concepts of Theory of Computation:

### Symbols:

Symbols are indivisible objects or entity that cannot be divided. A symbol is any single object such as  $a$ , 0, 1, # etc. Usually, characters from a typical keyboard are only used as symbols.

### Alphabet:

- An alphabet is a finite, non-empty set of symbols.
- Alphabet represented by  $\Sigma$

### Examples of alphabet:

$\Sigma = \{0, 1\}$  is a binary alphabet

$\Sigma = \{\#, \nabla, \beta\}$

$\Sigma = \{a, b, c, s, z\}$

### Strings:

- String is a finite sequence of symbols from the alphabet.

### Examples of strings:

0, 1, 00, 01, 10, 11 are strings over  $\Sigma = \{0, 1\}$

$a, b, aa, ab, ba, bb$  are strings over  $\{a, b\}$

$ab$  and  $ba$  are different strings.

*Length of string must be finite.*

**Note:** It is not the case that a string over some alphabet should contain all the symbols from the alphabet. For example, the string  $cc$  over the alphabet  $\{a, b, c\}$  does not contain symbols  $a$  and  $b$ .

It is also true that a string over an alphabet is also a string over any super set of that alphabet.

### Null/Empty String:

- String containing no symbol
- Represented by  $\epsilon$  or  $\lambda$
- Length of null string is 0.
- $\epsilon$  is a string over any alphabet

### Language

• A general definition of language must cover a variety of distinct categories: Natural Languages, Programming Languages etc.

Language can be defined as a system suitable for expression of certain ideas, facts or concepts, which includes a set of symbols and rules to manipulate these.

- In Theory of Computation, language is defined as set of strings over some alphabet.

**Example:**  $\Sigma = \{0, 1\}$

$$L = \{0^m 1^m \mid m \geq 0\}$$

$$L = \{\lambda, 01, 0011, 000111, \dots\}$$

- Set of strings, which satisfy certain condition is called language.
- Set of language can be finite or infinite.

### Universal Languages: $(\Sigma^*, \Sigma^+)$

- $\Sigma^*$  denotes the set of all sequences of strings composed of zero or more symbols of  $\Sigma$ .
- $\Sigma^+$  denotes the set of all sequences of strings composed of one or more symbols of  $\Sigma$ . That is

$$\Sigma^+ = \Sigma^* - \{\lambda\} \quad \text{or} \quad \Sigma^* = \Sigma^+ + \{\lambda\}. \quad (\text{Here } \lambda \text{ represent Null string})$$

- $\Sigma^*$  and  $\Sigma^+$  are infinite sets.
- Every language is a subset of  $\Sigma^*$

### Empty Language: $\phi$

- Language with 0 string is called empty language.

**Example:**  $L = \{ \}$

Length of  $L = |L| = 0$  where  $L$  is empty language

- Empty language is represented by  $\phi$

**Note:**  $L_1 = \{\lambda\}$      $L_2 = \{ \}$     here  $L_1, L_2$  are not same because  $L_1$  is a language with null string while  $L_2$

is a language with zero string. Here length of  $L_1$  is  $|L_1| = 1$

### Properties Related to Strings:

### 1. Length of String:

- Length of a string  $\omega$ , denoted by  $|\omega|$ , is number of symbols in it.

**Example**  $\Sigma = \{0, 1\}$   $w = 0110$   $|w| = 4$

**Example**  $\Sigma = \{a, b\}$   $w = a a a b$   $|w| = 4$

- Empty string is string of length 0, usually written as  $\epsilon$  or  $\lambda$ .

### 2. Reversal of String

- Reverse string of a string  $w$  represented by  $w^R$  is string obtained by writing the string  $w$  in reverse order.

**Example 1.**  $\Sigma = \{a, b\}$

$w = a b b b a b$  (write the string in reverse order)

$$w^R = b a b b b a$$

**Example 2.**  $w = \text{automata}$

$$w^R = a t a m o t u a$$

$$|w| = |w^R|$$

$w = w^R$ , in case of Palindrome strings.

**Example 3.** Consider a Palindrome string  $w = a b b a$ , over the  $\Sigma = \{a, b\}$

$$w^R = a b b a$$

- $w = w^R$  in case of Palindromes

**Example 4.**  $w = \lambda$

$$w^R = \lambda$$

### 3. Concatenation of Strings:

- Concatenation of strings  $x$  and  $y$ , denoted by  $x \cdot y$  or  $xy$ , is a string  $z$  obtained after concatenating strings  $x$  and  $y$  in the same order.

**Example 1.**  $x = a b b a$   $\Sigma = \{a, b\}$

$$y = a a a$$

$$x \cdot y = a b b a a a a$$

$$y \cdot x = a a a a b b a$$

In Example 1, commutativity is not maintained.

**Example 2.**  $\Sigma = \{0, 1\}$

$$w = 01101 \quad u = \lambda$$

$$u \cdot w = 01101 \quad w \cdot u = 01101$$

In Example 2, commutativity is maintained

**So we can say that  $u \cdot v \neq v \cdot u$  (It may be true sometimes, sometimes false)**

- Concatenation of strings is always associative**

**Example 3.**  $\Sigma = \{a, b\}$

$$u = a a b \quad v = b b a \quad w = a a$$

$$(u.v).w = u.(v.w) \quad [\text{always true}]$$

- $|u.v| = |u| + |v|$
- $|u.v| = |v.u| \quad (\text{always true})$

#### 4. Sub-string:

- A string  $u$  is said to be sub string of  $w$ , if  $u$  appears in  $w$ , also the length of  $u$  is always less then or equal to the length of  $w$ .

**Example 1.** Find all the sub strings of GATE.

Zero Length sub string:  $\lambda$   
 One Length sub string: G, A, T, E  
 Two Length sub string: GA, AT, TE  
 Three Length sub string: GAT, ATE  
 Four Length sub string: GATE

Total: 11 sub strings all there

- *Null string is a sub string of every string.*
- *For string  $w$ ,  $w$  is always sub string of string.*

#### Trivial sub strings:

If  $w$  is any string, string itself and null string are called trivial sub strings.

#### Non-Trivial Sub strings:

If  $w$  is any string, then any sub string of  $w$  other them  $w$  itself and  $\lambda$  are called non-trivial sub strings.

**Example 2.** Find the number of sub strings for the given string  $w = a b b$  over the  $\{a, b\}$

Zero length =  $\lambda$   
 One length =  $a, b$   
 Two length =  $ab, bb$   
 Three length =  $a b b$

#### Answer 6.

#### Note:

- If all the symbols in string  $w$  are different then

$$\text{Number of sub strings} = \frac{n(n+1)}{2} + 1$$

where  $n$  is length of string  $w$ .

- If there is repetition of symbols within the string like in above example, then there is no general formula for finding the number of sub strings.

#### 5. Power of string:

- If  $w$  is any string, then:

$$\begin{aligned}
 w^0 &= \lambda \\
 w^1 &= w \\
 w^2 &= w . w \\
 w^3 &= w . w . w \\
 \vdots & \quad \vdots \\
 \vdots & \quad \vdots
 \end{aligned}$$

**Example 1.**  $\Sigma = \{a, b\}$ ,  $w = a a b$

$$\begin{aligned}
 w^0 &= \lambda \\
 w^1 &= a a b \\
 w^2 &= w . w = a a b a a b \\
 \vdots & \quad \vdots \\
 \vdots & \quad \vdots
 \end{aligned}$$

- Power of string can never be negative.

### 6. Prefix of String:

- Any string of consecutive symbols in same string  $w$  is said to be prefix of the string if:

$$w = v u$$

Here  $v$  represents prefix of the string.

**Example 1.**  $\Sigma = \{a, b\}$

$$w = a a b b$$

Find all prefixes of the string  $w$ ?

Zero length prefix :  $\pi$        $\lambda a a b b$

One length prefix :  $a$        $\lambda a a b b$

Two length prefix :  $a a$        $\lambda a a b b$

Three length prefix :  $a a b$        $\lambda a a b b$

Four length prefix :  $a a b b$        $\lambda a a b b$

- If  $w$  is any string, then  $w$  and  $\lambda$  are always the prefix of  $w$ .
- Number of prefix =  $n + 1$ , where  $n$  is length of  $w$ .
- Number of prefixes are independent of, whether string includes repetition of symbols or not.

Number of prefix =  $n + 1$  (always)

**Example 2.** Find all the prefixes of GATE.

**Ans.**  $\{\lambda, G, GA, GAT, GATE\}$

### 7. Suffix of String

Any string of consecutive symbols in some string  $w$  is said to be suffix of the string, if:

$$w = v u$$

Here,  $u$  represents suffix of the string.



**Example 1.** Find all the suffixes of the string.

$$w = \text{GATE}$$

Zero length suffix:  $\lambda$  GATE  $\lambda$

One length suffix: E GATE

Two length suffix: TE GATE

Three length suffix: ATE GATE

Four length suffix: GATE GATE

- Number of suffix =  $n + 1$ , where  $n$  is length of string.
- If  $w$  is any string, then  $w$  and  $\lambda$  are always the suffix of  $w$ .

### Operations on Languages

- A language can be stated as collection of the strings over the alphabet  $\Sigma$ .
- $\Sigma^*$  contains all the possible strings over the alphabet  $\Sigma$ .

- Complementation
- Union
- Intersection
- Concatenation
- Reversal
- Closure
- Power of language
- Subtraction of languages
- EX OR of languages



**1. Complementation:** If  $L$  is language then  $L^C$  is the complement of the language.

$$L^C = \Sigma^* - L$$

**Example 1.** Let  $\Sigma = \{0, 1\}$  be the alphabet,

$$L = \{w \in \Sigma^* \mid \text{the number of 1's in } w \text{ is even}\}$$

$$L^C = \{w \in \Sigma^* \mid \text{the number of 1's in } w \text{ is odd}\}$$

### 2. Union of language:

Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$  then union of  $L_1$  and  $L_2$ , denoted by  $L_1 \cup L_2$  is:

$$L_1 \cup L_2 = \{w \mid w \text{ is in } L_1 \text{ or } w \text{ is in } L_2\}$$

**Example 1.**  $\Sigma = \{0, 1\}$

$$L_1 = \{w \in \Sigma^* \mid w \text{ begins with } 0\}$$

$$L_2 = \{w \in \Sigma^* \mid w \text{ ends with } 0\}$$

$$L_1 \cup L_2 = \{w \in \Sigma^* \mid w \text{ begin with } 0 \text{ or end with } 0\}$$

### 3. Intersection of Language:

- Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$ . Intersection of  $L_1$  and  $L_2$ , denoted by  $L_1 \cap L_2$  is:

$$L_1 \cap L_2 = \{w \mid w \text{ is in } L_1 \text{ and } w \text{ is in } L_2\}$$

**Example 1.**  $\Sigma = \{0, 1\}$

$$L_1 = \{w \in \Sigma^* \mid w \text{ begin with } 0\}$$

$$L_2 = \{w \in \Sigma^* \mid w \text{ ends with } 0\}$$

$$L_1 \cap L_2 = \{w \in \Sigma^* \mid w \text{ begins with } 0 \text{ and ends with } 0\}$$

### 4. Concatenation of Language:

- Let  $L_1$  and  $L_2$  be languages over an alphabet  $\Sigma$ . The concatenation of  $L_1$  and  $L_2$ , denoted by  $L_1 \cdot L_2$  is  $\{w_1 \cdot w_2 \mid w_1 \text{ is in } L_1 \text{ and } w_2 \text{ is in } L_2\}$

**Example 1.**  $L_1 = \{a, b, ab, ba\}$   $L_2 = \{\lambda\}$

$$L_1 \cdot L_2 = \{a, b, ab, ba\}$$

**Example 2.**  $L_1 = \{a, b, ab\}$   $L_2 = \{b\}$

$$L_1 \cdot L_2 = \{ab, bb, abb\}$$

**Example 3.**  $L_1 = \{a, b, ab\}$   $L_2 = \{\}$

$$L_1 \cdot L_2 = \{\}$$

Note:  $|L_1 \cdot L_2| \leq |L_1| \times |L_2|$

**Example 4.**  $L_1 = \{a, ab\}$   $L_2 = \{b, bb\}$

$$L_1 \cdot L_2 = \{ab, abb, abbb\}$$

**Example 5.**  $\Sigma = \{0, 1\}$

$$L_1 : \{w \in \Sigma^* \mid w \text{ begins with } 0\}$$

$$L_2 : \{w \in \Sigma^* \mid w \text{ ends with } 0\}$$

$$L_1 . L_2 : \{w \in \Sigma^* \mid w \text{ begins with } 0 \text{ and ends with } 0 \text{ and } |w| \geq 2\}$$

### 5. Reversal of Language ( $L^R$ ):

- Let  $L$  be a language over an alphabet  $\Sigma$ . Reversal of language  $L$ , denoted by  $L^R$  is,

$$L^R = \{w^R \mid w \text{ is in } L\}$$

**Example 1.**  $L = \{0, 1, 10\}$

$$L^R = \{0, 1, 01\}$$

**Example 2.**  $L = \{010, 11011\}$

$$L^R = \{010, 11011\}$$

- $L = L^R$ , if  $L$  contains strings which are Palindromes.
- $|L| = |L^R|$

**Example 3.**  $\Sigma = \{0, 1\}$

$$L = \{w \in \Sigma^* \mid w \text{ starts with } 0\}$$

$$L^R = \{w \in \Sigma^* \mid w \text{ ends with } 0\}$$

### 6. Closure: ( $L^*$ and $L^+$ )

$$L^* = L^0 \cup L^1 \cup L^2 \cup L^3 \cup L^4 \cup L^5 \dots\dots\dots$$

$$L^+ = L^1 \cup L^2 \cup L^3 \cup L^4 \cup L^5 \dots\dots\dots$$

$$L^0 = \{\lambda\}$$

**Example.** If  $\Sigma = \{0, 1\}$ ,  $L = \{0, 1\}$

$$L^* = L^0 \cup L^1 \cup L^2 \cup L^3 \dots\dots\dots$$

$$L^* = \{\lambda\} \cup \{0, 1\} \cup \{00, 01, 10, 11\} \cup \dots\dots\dots$$

$$L^* = \{\lambda, 0, 1, 00, 01, 10, 11, \dots\dots\dots\}$$

**Example.** If  $\Sigma = \{0, 1\}$

$$L^+ = L^1 \cup L^2 \cup L^3 \cup L^4 \dots\dots\dots$$

$$L^+ = \{0, 1\} \cup \{00, 01, 10, 11\} \cup \{000, 010, 100, 110, 001, 011, 101, 111\} \cup \dots\dots\dots$$

$$L^+ = \{0, 1, 00, 01, 10, 11, 000, 010, 100, 110, 001, 011, 101, 111, \dots\dots\dots\}$$

- $L^*$  always contain  $\lambda$
- $L^+$  contains  $\lambda$  only if  $L$  includes  $\lambda$



**Example 1.**

$$L = \{ab, aa, baa\}$$

Which of the following strings are in  $L^*$ ?

(a)  $ab\ aab\ aaa\ baa$

(b)  $b\ aaa\ aa\ b\ aaaa\ b$

**Solution:**

$\Rightarrow$  A string is in  $L^*$  if it is present either in  $L^0$  or  $L^1$  or  $L^2$  or  $L^3$  .....

$\Rightarrow$  Divide the given string into sub strings and every sub string must belongs to  $L$ .

$$\underline{ab}\ \underline{aa}\ \underline{baa}\ \underline{ab}\ \underline{aa}$$

The string must be present in  $L^*$ , still string must be part of  $L^5$ .

$$\Rightarrow \underline{baa}\ \underline{aa}\ \underline{ab}\ \underline{aa}\ \underline{aa}\ \mathbf{b}$$

Still last  $\mathbf{b} \notin L$  thus given string is not present in  $L^*$ .

**7. Power of Language:**

- If  $L$  is a language over on alphabet  $\Sigma$ , then

$$L^0 = \{\lambda\}$$

$$L^1 = L$$

$$L^2 = L.L$$

$$L^3 = L.L.L$$

$$\vdots \quad \vdots$$

**Example 1.**  $\Sigma = \{a, b\}$

$$L = \{a, ab, abb\}$$

Check whether the given string is present in  $L^4$  or not?

**Solution:**  $w = a\ ab\ abb\ a$

Divide the string into 4 substrings, and every substring belongs to language  $L$ .

$$w = \underline{a}\ \underline{ab}\ \underline{abb}\ \underline{a}$$

String must be present in  $L^4$

**Example 2.**  $\Sigma = \{a, b\}$

$$L = \{\lambda, a, b, ab\} \quad w = a\ b\ a\ b$$

Check whether the given string is present in  $L^5$  or not?

**Solution:**  $w = a\ b\ a\ b \equiv \underline{a}\ \underline{b}\ \underline{a}\ \underline{b}\ \lambda$

still string can be divided into 5 substrings and every substring belong to  $L$ ,  $\therefore$  String  $w$  is present in  $L^5$

**Example 3.** How many substrings (of all lengths inclusive) can be formed from a character string of length  $n$ ? Assume all characters to be distinct? **GATE**

**Solution:** No. of substrings (of all lenth's inclusive) that can be formed from a character strings of length

$$n \text{ is } \frac{n(n+1)}{2} + 1$$

**Example 4.** Consider the language  $L_1 = \phi$  and  $L_2 = \{a\}$ . Which one of the following represents  $L_1 L_2^* \cup L_1^*$ ?

- (A)  $\{\epsilon\}$                       (B)  $\Phi$                       (C)  $a^*$                       (D)  $\{\epsilon, a\}$

**Solution:** Divide the string into sub strings such that

$$\begin{array}{c} w = u . v \dots\dots\dots r \\ \downarrow \\ \text{string} \end{array}$$

$u, v, \dots\dots\dots r$  substrings

Such that Every substring  $\in L$

1. ab aa baa ab aa

String must be in  $L^5$ , thus must be in  $L^*$

2. aa aa baa aa

String must be in  $L^4$ , thus must be in  $L^*$

3. baa aa ab aa aa b

String not in  $L^*$

4. baa aa ab aa

String is present in  $L^4$ , thus present in  $L^*$

### 8. Subtraction of Language:

$L_1 - L_2$  contains those strings which are present in  $L_1$  but not in  $L_2$ .

**Example.**  $\Sigma = \{a, b\}$

$$L_1 = \{a, b, ab, \lambda\}$$

$$L_2 = \{ab, \lambda, bb, ba\}$$

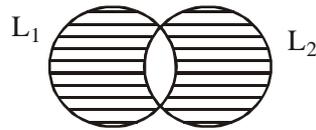
$$L_1 - L_2 = \{a, b\} \quad L_2 - L_1 = \{bb, ba\}$$

### 9. EX-OR of Language:

If  $L_1, L_2$  are two languages over an alphabet  $\Sigma$ , then  $L_1 \oplus L_2$  contains those strings which are present only in  $L_1$  or only in  $L_2$

$$L_1 \oplus L_2 = L_1 \cup L_2 - (L_1 \cap L_2)$$

$$L_1 \oplus L_2 = \{L_1 - (L_1 \cap L_2) \cup \{L_2 - (L_1 \cap L_2)\}$$



Shaded portion represents  $L_1 \oplus L_2$

**Example.**  $L_1 = \{a, b, ab, ba\}$

$$L_2 = \{bb, a, aa, ba\}$$

$$L_1 \oplus L_2 = \{b, ab, bb, aa\}$$

### Grammar:

Grammar is one way of representing the language.

A grammar  $G$  is a quadruple,  $G = (V, T, S, P)$  where:

- (i)  $V$  is a finite set of variables. Variables are represented by capital letters.
- (ii)  $T$  is finite set of terminal symbols
- (iii)  $S \in V$  and  $S$  is called start variable.
- (iv)  $P$  is finite set of productions or rules.

Production has the form:

$$X \rightarrow Y$$

$$X \in (V \cup T)^+ \text{ and } Y \in (V \cup T)^*$$

**Example 1.** Write the grammar for the given language:

$$L = \{a^m \mid m \geq 0\}$$

$$S \rightarrow aS \mid \lambda$$

$$G = (V, T, S, P)$$

$$V = \{S\}$$

$$T = \{a, \lambda\}$$

$$S = S$$

$$P = \{S \rightarrow aS, S \rightarrow \lambda\}$$

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